

# St. Xavier's University, Kolkata

## REGULATIONS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.), 2022

[ In supersession of St. Xavier's University, Kolkata Ph.D. Regulations  
2019 ]

[Approved by the Executive Council at its 72nd meeting on 27-04-2022 and by the Governing Board at its 16th meeting held on 27-08-2022 (Resolution no. 5)]

---

In exercise of the powers conferred by Section- 6(1) of St. Xavier's University, Kolkata Act 2017, the St. Xavier's University, Kolkata hereby makes the following Regulations, namely:-

### 1. SHORT TITLE, APPLICATION & COMMENCEMENT:

These **Regulations** hereafter will also be known as ***St Xavier's University, Kolkata (SXUK) Ph.D. Regulations, 2022***

The provisions of these Regulations shall apply to every candidate for admission, registration, conduct, Ph.D. Course Work, and evaluation leading to the conferment of the Degree of Doctor of Philosophy (Ph.D.) of St. Xavier's University, Kolkata (SXUK) **hereinafter referred to as degree of Ph.D.**

Notwithstanding anything contained in any other Rule or Regulation for the time being in force, all admissions, registrations, Ph.D. Course Work and all other matters leading to the conferment of Degree shall be guided by these **Regulations** framed as per guidelines of the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D.Degrees) Regulations,2016.

### 2. GENERAL:

St. Xavier's University, Kolkata (SXUK) will ordinarily award the degree of Ph.D. in the subjects for which the University is having UG/PG faculty / school of studies and with necessary approval by the Governing Board of the University.

The **degree of Ph.D.** shall be awarded to a candidate on the basis of original research work of merit incorporated in a thesis, recommended by the Board of Examiners (BoE) and successfully defended by the candidate during a viva voce.

The thesis shall demonstrate that the candidate has technical mastery of the chosen field of investigation and is capable of producing scholarly work. The result of research embodied in the thesis shall be a contribution to existing knowledge either by way of a discovery of new facts or by way of a discovery of some new relations between facts already known, or by way of a critical survey of facts/issues leading to a new interpretation.

***The thesis is to be written in English***, except the researches in languages other than English.

### 3. ELIGIBILITY CRITERIA FOR ADMISSION TO Ph.D. PROGRAMME:

Subject to the conditions stipulated in these Regulations, the following persons are eligible to seek admission to the Ph.D. programme:

- 3.1. Candidates for admission to the Ph.D. programme shall have a Master's degree from a UGC recognized University or a degree declared equivalent to the Master's degree by Association of Indian Universities (AIU) with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 3.2. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer) / Differently-abled and other categories of candidates as per the decision of the UGC from time to time. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

#### **4. DURATION OF THE PROGRAMME:**

- 4.1. Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years from the date of admission (i.e., enrolment).
- 4.2. Extension beyond the above limits will be governed by the relevant clauses as stipulated in these Regulations.
- 4.3. The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave / Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

#### **5. PROCEDURE FOR ADMISSION:**

- 5.1. The number of seats for the Ph.D. programme should be decided in advance on annual basis for each department of the University depending on the number of available Research Supervisors keeping in mind the norms regarding the scholar-teacher ratio (as indicated in UGC Ph.D. Regulations, 2016 Guidelines), laboratory, library and such other facilities;
- 5.2. The university shall notify on the Website and shall advertise in at least two (2) national newspapers of which at least one (1) shall be in the regional language, the number of available seats for the Ph.D. studies, subject/discipline-wise distribution of available seats, eligibility criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the students. and conduct admission on a regular basis, the frequency and mode of which shall be determined by the concerned University department

from time to time.

- 5.3. Ordinarily, a candidate seeking admission to the Ph.D. programme will appear at the Entrance Test in the subject in which he/she has obtained the Master's degree. In case a candidate wishes to apply for admission to the Ph.D. programme in a subject other than the one in which he/she has obtained the qualifying degree, his / her admission needs an endorsement from Departmental Research Committee.
- 5.4. In case of candidates holding a qualifying degree from other Universities, admission for the Ph.D. programme will proceed after determination of equivalence by the relevant University body and fulfilment of the admission criteria.
- 5.5. Foreign students will be required to produce clearance from the Government of India and / or other appropriate authorities, if any, for admission to the Ph.D. programme. Enrolment in the Ph.D. programme may be allowed to only such foreign nationals as have obtained and are holding research visa after fulfilment of other admission criteria.
- 5.6. The university shall admit candidates by **St. Xavier's University Research Entrance Test (XURET)** conducted in two stages - Written test and an Interview.
  - 5.6.1 Candidates who have qualified in the UGC/ CSIRNET or SET / SLET /GATE or have obtained DSTINSPIRE Fellowship /Teacher fellowship or have passed equivalent examinations or passed the M.Phil./ M.Tech / M.D/ M.E./ M.Pharm or equivalent examination in the subject or allied subject (as approved by DRC) shall be exempted from the written test of **St. Xavier's University Research Entrance Test (XURET)** conducted by the University but have to qualify in the interview on the subject to be conducted by the appropriate Department Research Committee (DRC), will be eligible for admission.
  - 5.6.2 A written Entrance Test will be held and the student has to obtain the qualifying marks. The syllabus of the Entrance Test shall consist of research methodology and subject specific questions proportionately as decided by the DRC
  - 5.6.3 The entrance test shall be followed by an interview to be conducted by the DRC of the concerned department of the University. A candidate who has been exempted from appearing at the entrance test shall also be required to appear at the interview. At the time of interview candidates seeking admission to the Ph.D. programme will be expected to discuss their research interest / area through a presentation (the candidates should be duly notified to prepare the presentation). The interview shall also consider the following aspects but not limited to viz. whether the candidate possesses the competence for the proposed research; the research work can be suitably undertaken; the proposed area of research can contribute to new / additional knowledge. The final selection will be made on the basis of the interview/ viva-voce only considering the following criteria with the respective

weightages: graduation marks (10%), post-graduation marks (20%), Fellowship / scholarship (UGC/CSIR- JRF, DST-INSPIRE, other national level) (20%), Knowledge in research area as evident from the presentation (20%) and knowledge in the core subject (30%)

5.7 Candidates selected for the Ph.D. programme shall be required to enrol as a probationary Ph.D. student on completion of admission formalities.

5.7.1 The date of enrolment shall be deemed to be the date of approval of the selected list of successful candidates by the Vice-Chancellor on recommendation of DRC.

5.7.2 A candidate selected for enrolment for Ph.D. programme as a probationary Ph.D. student shall be issued an Enrolment Certificate stating his / her name, subject for his/her Ph.D. programme, date of enrolment, and validity of two years from the date of enrolment. This certificate is to be issued by the Convenor of DRC and the Registrar will countersign the Enrolment Certificate for candidates selected by the departments.

5.7.3 A probationary Ph.D. student will be required to complete his / her Ph.D. registration within two years from the date of his/her Enrolment, failing which his / her admission in the Ph.D. programme shall automatically stand cancelled, However, extension of enrolment validity may be specially approved by the Vice-Chancellor on recommendation of DRC.

**6. ALLOCATION OF RESEARCH SUPERVISOR: Eligibility criteria to be a research Supervisor, Co- Supervisor, Number of Ph.D. scholars permissible per Supervisor, etc.**

6.1. Any regular Professor of the University with at least five, any regular Associate Professor at least three (3) and Assistant Professor at least two (2) research publications in refereed journals, and with a Ph.D. degree may be recognized as Research Supervisor.

Provided that in areas/disciplines where there is no or only a limited number of refereed journals, University may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing on recommendations of DRC and BOR.

6.2. Only a full-time regular teacher of the University can act as a supervisor. However, Co- Supervisor can be allowed in inter-disciplinary areas or an area in which the department does not have suitable expertise, from other departments of the university or from other institutions with the approval of the Departmental Research Committee.

6.3. The allocation of Research Supervisor for a selected research scholar shall be decided by the Department and approved by the DRC concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.

6.4. A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D.

scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

- 6.5. In case of relocation of a Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.
- 6.6. In case of continuous non-availability of the Supervisor(s), the Departmental Research Committee may, on the request by the candidate, recommend suitable replacement(s) to the Vice-Chancellor.
- 6.7. In the event of the death of a Supervisor, the Departmental Research Committee, on being requested by the student, shall recommend to the Vice-Chancellor an other recognized Supervisor for supervision of the research work for approval of Vice- Chancellor.

**7. COURSE WORK: Credit Requirements, number, duration, syllabus, minimum standards for completion, etc.**

- 7.1. The credit assigned for the Ph.D. course work shall be of 14 credits
- 7.2. The course work shall be treated as prerequisite for Ph.D. preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications. Other courses should include research ethics and review of published research in the relevant field, other discipline specific courses/ electives of advanced level preparing the students for Ph.D. degree.

The course structure for the 2 semesters of the course work is given below:

<b>Semester I</b>	
<b>Subject</b>	<b>Credits</b>
Research Methodology	4
Elective 1	2
Elective 2	2
<b>Semester II</b>	
<b>Subject</b>	<b>Credits</b>
Elective 3	2
Term paper	2
Research and Publication Ethics	2
<b>Total Credits (Sem I + Sem II)</b>	<b>14</b>

- 7.3. All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. They shall be duly approved by the Departmental Research Committee.
- 7.4. All candidates admitted to the Ph.D. programmes shall be required to

complete the course work prescribed by the Department during the initial two semesters.

- 7.5. Candidates who have already completed the course work from any other university may be exempted from the Ph.D. course work, subject to the recommendation from the DRC and subsequent approval of the Equivalence Committee of the university. Candidates already holding M. Phil. degree and admitted to the Ph.D. programme, may be exempted from the Semester I courses and examinations of the Ph.D. course work, on recommendation of the Departmental Research Committee.
- 7.6. Examination of the course work will be conducted by the Controller of Examinations and will issue the marksheet or equivalent grade card as per the Ph.D. examinations rules and regulations.
- 7.7. A Ph.D. scholar has to obtain a minimum of 40% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis
- 7.8. Only upon the satisfactory completion of course work, the Controller of Examinations will provide a completion certificate to the Ph.D. student. Such students will be allowed to make the pre-Ph.D. presentation at the Departmental Research committee, after registration for Ph.D. programme.

## 8. REGISTRATION

- 8.1. An enrolled PhD student on successful completion of the Course work may apply for registration to the Ph.D. programme, to the Departmental Research Committee through its Convener.
- 8.2. Every application for registration in prescribed form shall state the subject or inter- disciplinary field in which the candidate desires to have the degree, chosen area of specialization, the title of the research, the name of the Supervisor as well as the University Department where the Ph.D. programme will be carried out. The application shall be supported by six copies of the synopsis of the proposed research, which shall ordinarily not exceed 1000 words, and shall include a title, short introduction, a brief review of literature pertaining to the work, Research objectives, Research methodologies and the plan of work, duly countersigned by the Supervisor and Joint Supervisor, if any.
- 8.3. Application for registration will be considered by the relevant **Departmental Research Committee** at its meeting in the presence of the Supervisor and the Joint Supervisor, if any, who will share the responsibility equally with the Supervisor for guiding the research work. The Ph.D. scholar is required to present his / her 1000-word-synopsis before the Departmental Research Committee. On the recommendations of the Departmental Research Committee concerned and with due approval of the Vice- Chancellor, the candidate will be allowed to be registered for the Ph.D. programme. The Registration Certificate will be provided to the student duly signed by the Registrar and shall include the name of the registered candidate, title of his / her

research, name of his / her supervisor and Joint supervisor, if any, date of enrolment / registration.

- 8.4. If the **Departmental Research Committee** does not consider a Synopsis / Plan of work as fit for recommendation, it shall again be examined by the Committee ordinarily within three months, after proper revision by the candidate in the light of the suggestions made by the relevant Committee. If, even after this revision of the content, the Committee does not recommend the case, the matter shall be placed at the meeting of the Faculty Council concerned.
  - 8.5. The University shall maintain the list of all the Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor and Joint supervisor, if any, date of enrolment/registration.
  - 8.6. In all cases, the date of registration shall be deemed to be the date of approval by the Vice-Chancellor, on the recommendation of the **Departmental Research Committee**. The registration of a candidate shall remain valid for a period of **six years from the date of enrolment**. In case of failure to submit the thesis within the stipulated time, a Ph. D. student shall have to apply for re-registration before expiry of the tenure of the existing registration. Ordinarily, a student will be allowed to be re-registered for once only. The continuity of the research work will, however, be maintained and the candidate will be exempted from other procedural formalities, if completed earlier.
  - 8.7. The validity of re-registration will be of five(05) years from the date of re-registration which will be deemed to be the next date of the date of expiry of the preceding Ph.D. registration, subject to approval of the Vice-Chancellor granting such re-registration
  - 8.8. The title of the thesis and the synopsis may be modified, if necessary, by the student and duly forwarded by the Supervisor with due concurrence of the **Departmental Research Committee** concerned. However, such modification will ordinary not be permitted after submission of the seminar report by the **Departmental Research Committee**.
- 9. UNIVERSITY RESEARCH BODIES AND THEIR FUNCTIONS:**
- 9.1. The Ph.D. programme shall be monitored by Board of Research with the help of the **Departmental Research Committees**.
  - 9.2. The **Board of Research (BoR)** shall comprise the following members:
    - (a) The Vice-Chancellor as the Chairperson
    - (b) The Pro-Vice-Chancellor
    - (c) Deans of the concerned Faculty/ School
    - (d) Professors and Associate Professors of the University, as nominated by the Vice-chancellor
    - (e) Three Experts nominated by the Governing Board for a period of three years.

- (f) One of the Professors or Associate Professors from among the members appointed by the Vice-Chancellor – Member Secretary
  - (g) Registrar – Permanent Invitee member
  - (h) Controller of Examinations – Permanent Invitee member
  - (i) The Supervisors of ongoing Ph.D. research work may be present as 'Invitees' as and when required.
- 9.3. The BoR shall meet at least twice in an Academic Session.
- 9.4. One-third of the total number of members rounded off to the next integer, including at least one External Expert shall form the quorum for the meeting of the Board of Research.
- 9.5. The term of each nominated member shall be of three years.
- 9.6. Functions of BoR:
- (a) to plan and recommend the activities for the university to conduct quality research
  - (b) Approval of Ph.D. Programmes.
  - (c) to consider appeals for change of research topic of those scholars who have already been registered in the university's Ph.D. Programme
  - (d) to examine the cases of differences of opinions, if any, between examiners of a Ph.D. thesis and recommend appropriate actions
  - (e) to look into disciplinary issues including ethics of research and plagiarism issues pertains to the research conducted.
- 9.7. The Vice-Chancellor shall constitute Departmental Research Committees on various subjects or distinct specializations within subjects and interdisciplinary fields in consultation with the Dean of the Faculty and Head/s of the department/s. Composition of DRC is as follows:
- (a) Dean of Faculty as Chairperson
  - (b) Ph.D. Programme Coordinator
  - (c) Two Faculty members nominated (out of Approved Supervisors) by Vice- Chancellor.
  - (d) Two External Experts nominated by Vice-Chancellor.
  - (e) Head of the Department / Prof.-in-Charge/one of the approved supervisors nominated by the Vice-Chancellor as Convener.
  - (f) The Head of a University Department, if such a Head of Department is not a member of the Departmental Research Committee, shall be a permanent invitee to all meetings of the Departmental Research Committee.
- 9.8. Functions of DRC
- (a) To examine for eligibility and approve applications for admissions and recommend for equivalence for related subjects
  - (b) To formulate and recommend the Ph.D. Course Work framework, structure, curriculum, syllabi and credits.
  - (c) To recommend Paper-setters, moderators, and Examiners for the



- course work examinations
- (d) To recommend subject experts for the RAC, supervisors and mentors for the scholars.
  - (e) To review the research proposal and finalise the topic of research.
  - (f) To periodically review the progress of the research work of the research scholars.
  - (g) To recommend Examiners for the Ph.D. thesis/viva voce evaluation; and
  - (h) Any other matter as may be deemed appropriate.
- 9.9. For a subject in which there is no University Post-graduate Department or in which a sufficient number of University teachers are not available, the Vice-Chancellor, in consultation with the Faculty Council concerned, may constitute a Departmental Research Committee with five experts in the subject. The Vice-Chancellor shall nominate one of them as the Convener of the Departmental Research Committee. This Departmental Research Committee will take all the necessary decisions regarding admission / supervision/course work and other matters which in other cases will be monitored by the Departmental Committee.
- 9.10. The tenure of a Departmental Research Committee shall be for a period of four years unless otherwise decided by the Vice-Chancellor. The Departmental Research Committee shall ordinarily meet once in a semester and resolutions of such meetings shall be maintained by the Convener and forwarded to the Convener of the 'Board of Research'.
- 9.11. Three regular members will constitute the quorum of the meeting. If two consecutive meetings fall through due to lack of quorum, the matter will be referred to the Vice- Chancellor for appropriate action.
- 9.12. Composition of the Research Advisory Committee (RAC) for each Ph.D. Scholar
- (a) Two subject experts of whom at least one must be from outside the University
  - (b) Supervisor as Convener.
- 9.13. The RAC for every candidate shall have the following responsibilities:
- (a) To review the research proposal and finalise the topic and title of research.
  - (b) To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to undergo.
  - (c) To periodically review and assist in the progress of the research work of the research scholar.
  - (d) A research scholar shall appear before the RAC once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The progress reports shall be submitted by the RAC to the DRC with a copy to the research scholar every six months; and
  - (e) In case, the progress of the research scholar is unsatisfactory, the RAC shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the RAC may recommend to the BoR through the DRC with specific reasons for cancellation of the registration of the research scholar.

## 10. SUBMISSION AND EVALUATION:

- 10.1. Prior to submission of thesis, the candidate shall through the Supervisor forward to the Convener of the **Departmental Research Committee** six copies of the summary of work preferably within 5000 words. The Convener of the **Departmental Research Committee** shall circulate the summary to the members of the DRC at least fifteen days before holding a Departmental Seminar. At the pre-submission Ph.D. seminar, the candidate shall report the research work in the presence of at least five members (of which at least two external expert) of the **Departmental Research Committee**, the Supervisor, and other members of the Research Advisory Committee of the concerned student. The Head of the Department and the Joint Supervisor, if any, should be invited to the seminar. Notice of the seminar shall be circulated amongst teachers and the same be put up on the Departmental Notice Board and may also be sent to the Heads of the allied Departments.
- 10.2. During the seminar any member of the **Departmental Research Committee** or any faculty member present may put forward specific suggestions, if any, to the candidate, and if necessary, in writing. The candidate will be required to work upon the suggestions given by the committee.
- 10.3. If required, the **Departmental Research Committee** may ask the candidate to reappear at the seminar.
- 10.4. Immediately after the seminar, the Convener of the **Departmental Research Committee** shall forward to the University a report in the prescribed form, on the performance of the candidate.
- 10.5. If recommended for resubmission at least 3 months must elapse from the date of previous submission before being allowed to resubmit.
- 10.6. At least three months prior to submission of the thesis, the Supervisor and the members of the **Departmental Research Committee** shall meet to prepare a panel of six experts [of which at least three(3) from outside the State] for evaluation of the written part of the thesis and for the viva-voce. The Convener of the **Departmental Research Committee** shall forth with send to the Registrar the recommended panel of experts, may be along with their consents, to be obtained by the Supervisor and duly endorsed by the Convener, for adjudication of the thesis and for the Viva-voce, along with eight copies of the abstract of the thesis of the candidate, not exceeding 350 words (submission in electronic format, as prescribed by the University, may also be allowed).
- 10.7. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor, and Joint Supervisor, if any, and at least two external examiners, who are not in employment of the University, of whom one examiner may be from outside the state/country.
- 10.8. Ph.D. candidates shall at least publish one research paper in a refereed journal before the submission of the thesis/monograph for adjudication and produce evidence for the same in the form of acceptance letter or the reprint. Where

refereed journals are not available, Departmental committee will submit the names of available journals in the related discipline to the **Departmental Research Committee and the Board of Research** for consideration in place of refereed journals.

- 10.9. Ph.D. scholars, apart from presentation in the Departmental Seminar, must make one paper presentation in conference/seminar before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificate. The scholars also have to present a paper and produce evidence for the same in the form of presentation certificate in the Doctor's Colloquium of the university before pre- submission seminar
- 10.10. The candidate shall submit five (six, incase there is a Joint Supervisor) type written or printed copies, having print on both sides of a page, of the thesis alongwith electronic version (CD or pendrive in prescribed. pdf Format) attached to each copy of thesis and also an extra copy of electronic version, within six (6) years but not ordinarily earlier than three (3) years from the date of enrolment for the Ph.D. programme.  
In case candidate fails to submit his/her thesis within six (6)years as stipulated, the clause of Re-registration (8.6) will apply.
- 10.11. The candidate may also submit in support of the thesis the contents of any work which may have been done previously by him/her, but the candidate shall not submit any work for which a degree or distinction has been conferred on the candidate by this or by any other University. The candidate will not, however, be precluded from incorporating any such work in a thesis covering a wider field, provided a clear statement of the work so incorporated is given.
- 10.12. The University shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism, along with the Duplication Report using the Plagiarism software of the university, and that the work has not been submitted for the award of any other degree/diploma of the University or to any other Institution.
- 10.13. The Vice-Chancellor shall appoint a panel of three experts including the Supervisor (or four experts, if there is Joint Supervisor), out of which at least one shall be from outside the State, after considering the suggestive list of six examiners, of which four should be outside the state or country, of the relevant **Departmental Research Committee** for adjudication of the thesis (The adjudicators/examiners shall have to send the report in the prescribed format).
- 10.14. When a thesis is unanimously and unconditionally recommended for the Degree by all the examiners, the candidate will be eligible for appearing at the Viva-Voce. If any examiner suggests modifications/corrections to incorporate in the thesis, the Vice-Chancellor may ask the candidate to incorporate such

modifications/corrections in his/her thesis before viva-voce.

The Vice-Chancellor may also consider to send back the modified/corrected thesis, as submitted by the candidate, to the concerned examiner, if it is so desired by the examiner, for his/her final observation on the modified/corrected thesis.

- 10.15. If there is any ambiguity or conditionality in the reports of the examiners, the Vice-Chancellor may consider the appointment of a third external examiner. In such cases the candidate has to submit additional copies of thesis as required
- 10.16. In case of non-recommendation by one of the external examiners, the Vice-Chancellor may consider the appointment of a third external examiner from the existing panel of examiners or if necessary, from a new panel recommended by the **Departmental Research Committee**.
- 10.17. The Vice-Chancellor may also, if necessary, refer such and other cases to the **Board of Research**, constituted for the purpose.
- 10.18. At the Viva-voce, the candidate shall be examined by the Supervisor and the Joint Supervisor (if there is any) and an expert to be appointed by the Vice-Chancellor from the suggestive list of six examiners other than the two external examiners of the thesis. Copies of reports of the adjudicators on the written part of the thesis shall be sent to the examiners conducting the Viva-voce prior to the Viva-voce. Viva-voce may be conducted through video conferencing with the permission from the Vice-Chancellor. During Viva-voce, the candidate shall respond to the queries, if any, by the examiners.
- 10.19. The examiners of the Viva-voce shall jointly submit a report to the Registrar on the performance of the candidate at the said examination, clearly indicating whether the candidate deserves to be admitted to the Ph.D. Degree. If the candidate fails to satisfy the examiners at the Viva-voce, the candidate shall be allowed by the Vice-Chancellor to appear a gain at a Viva-voce after a period of three months from the date of the first Viva-Voce.
- 10.20. The Vice-Chancellor, after considering the reports on the thesis and the viva-voce, shall recommend to the Executive Council the award of the Ph.D. Degree to the candidate.
- 10.21. The Registrar of the University shall issue a Provisional Certificate certifying to the effect that the Degree has been awarded in accordance with the provisions of these Regulations framed under the guidelines of the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016.
- 10.22. A degree certificate under the seal of the University and signed by the Vice-Chancellor in the following format will be given to each successful candidate at the next annual convocation of the University.  
“This is to certify that (name of the awardee) obtained the Degree of Doctor of Philosophy in (name of the subject: within parentheses, broad field of

specialization, if any) under the Faculty (name of the Faculty) of this University in the year (year of admittance to the degree)”

10.23. A copy of the thesis accepted for the Degree of Doctor of Philosophy, with necessary corrections or modifications, if there be any, shall be retained in the University Library

#### **11. DEPOSITORY WITH UGC:**

11.1. Following the successful completion of the evaluation process and announcement of the award of the Ph.D. degree, the University shall submit a soft copy of the Ph.D. thesis to the UGC within a period of thirty days, for hosting the same in INFLIBNET, accessible to all Institutions/ Universities.

#### **12. REMOVAL OF DIFFICULTIES**

For any deficiency/discrepancy/in the Regulation or any problem arising out of interpretation, the matter shall be placed before the Vice-Chancellor and his decision will be final

#### **REPEAL**

All previous Regulations or Rules on the Ph.D. programme do hereby stand repealed.